School of Business
Lecture Series

presented by:

Jim Haley ‘97

CEO

Dividend Assets Capital Private Client Group

Monday, November 13th

12:40p.m. – 1:30p.m.

Siena College, Key Auditorium

(Roger Bacon Hall 202)

Mr. Haley is the CEO of Dividend Assets Capital Private Client Group, where he works to ensure the firm is continuously meeting the investment and planning needs of their successful client base. Jim has 26 years of experience within the investment and wealth management industry serving as a Client Advisor, Portfolio Manager and Wealth Planner within the regional/national banks to include Wilmington Trust, Bank of America-US Trust, and SunTrust Private Wealth Management. He is a graduate of Campbell University, (Master of Trust and Investment Management), Southeastern Trust School, and Siena College (Bachelor of Science in Finance). In addition, Jim earned the designation of Certified Financial Planner (CFP®)

Jim continuously works to serve the community in which he lives and works. He is currently a member of the Board of Advisors for Siena College and has served as Board President for the local Boys & Girls Club, a Board Member of Rotary HHI, The Estate Planning Council along with serving several other nonprofit organizations throughout his career as Board Member or President. Additionally, he is a frequent speaker and moderator of topics within the financial services industry to include: The Future of Wealth Management, Fixed Income & Equity Strategies along with Wealth Planning & Implementation Strategies for Successful families.

Jim was born and raised in Schenectady, NY and attended Notre Dame Bishop Gibbons. He currently resides in Bluffton, SC with his wife Alison and their three children.

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